Applicant/Patentee: Thomas S. Parker, et al. 1995
Serial/Patent No.:
Filed/Issued: Concurrently herewith For: METHODS USEFUL IN ENDOTOXIN BASED PROPHYLAXIS AND THERAPY
TOL. METHODS USEFUL IN ENDOTOXIN BASED PROPHYLAXIS AND THERAPY
Attorney's Docket No.: ROGO 211-NDH
VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION
I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:
NAME OF ORGANIZATION The Rogosin Institute
ADDRESS OF ORGANIZATION 505 East 70th Street
New York, New York 10021
TYPE OF ORGANIZATION
(X) UNIVERSITY OR OTHER INSTITUTE OF HIGHER EDUCATION (X) TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3)) () NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA (NAME OF STATE (CITATION OF STATUTE () WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA () WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA (NAME OF STATE (CITATION OF STATE) I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled
METHODS USEFUL IN ENDOTOXIN BASED PROPHYLAXIS AND THERAPY
by inventor(s) Thomas S. Parker, et al.
described in
(X) the specification filed herewith() application Serial No. , filed .() Patent No. , issued

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

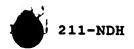
Cont'd

FELFE & LYNCH 805 Third Avenue New York, New York 10022 (212) 688-9200 erified Statement (Declary n) Claiming Small Entity State 37 CFR 1.9(f) and 1.27(d)) Nonprofit Organization

Page 2

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For: METHODS USEFUL IN END	OTOXIN BASED PROPHYLAXIS AND THERAPY
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invention are held by any independent inventor under business concern under 37 C. Separate verified statement having rights to the invent	onprofit organization are not exclusive, each individual, concerning to the invention is listed below* and no rights to the person, other than the inventor, who would not qualify as an 37 CFR 1.9(c) or by any concern which would not qualify as a small FR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: the same required from each named person, concern or organization averring to their status as small entities. (37 CFR 1.27).
NAME	
ADDRESS	
() INDIVIDUAL ()	SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION
time of paying, the earlies which status as a small ent	file, in this application or patent, notification of any change of entitlement to small entity status prior to paying, or at the t of the issue fee or any maintenance fee due after the date on ity is no longer appropriate. (37 CFR 1.28(b)).
statements were made with tare punishable by fine or im States Code, and that such	tatements made herein of my own knowledge are true and that all ion and belief are believed to be true; and further that these he knowledge that willful false statements and the like so made prisonment, or both, under Section 1001 of Title 18 of the United willful false statements may jeopardize the validity of the uing thereon, or any patent to which this verified statement is
NAME OF PERSON SIGNING	Albert L. Rubin
CITLE IN ORGANIZATION	Director
DDRESS OF PERSON SIGNING	220 Allison Court
	Englewood, New Jersey 07631
	O O
1 Det	-TR2 0/5/04
SIGNATURE	0/1/77

FELFE & LYNCH 805 Third Avenue New York, New York 10022 (212) 688-9200 DATE



<u>Patented</u> (Status-patented/pending/abandoned)

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

07/928,930

(Applic. Serial No.)

United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose	listed below) or an original, first and joint inventor (if plural names a listed below) of the subject matter which is claimed and for which a pater is sought on the invention entitled <u>METHODS USEFUL IN ENDOTOXIN BAS</u>	re
and was amended on (1)	PROPHYLAXIS AND THERAPY, the specification of which	nt <u>ED</u>
identified specification, including the claims, as amended by any amendment referred to above. If acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a). Foreign Priority Applications I hereby claim foreign priority benefits under Title 35, United States Code 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed: Priority Claimed Yes () No () (Number) (Country) (Day/Month/Year Filed) U.S. Priority Applications I hereby claim the benefit under Title 35, United States Code, \$120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, \$112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, \$1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:	() was filed on as Application Serial No and was amended on (1), (2) (īf
examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a). Foreign Priority Applications I hereby claim foreign priority benefits under Title 35, United States Code 119 of any foreign application(s) for patent or inventor's certificate listed below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed: Priority Claimed Yes () No () (Number) (Country) (Day/Month/Year Filed) U.S. Priority Applications I hereby claim the benefit under Title 35, United States Code, \$120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, \$112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, \$1.56(a) which occurred between the filing date of this application:	identified specification, including the claims, as amended by any amendment	ve nt
I hereby claim foreign priority benefits under Title 35, United States Code 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed: Priority Claimed	examination of this application in accordance with Title 37, Code of Federa Regulations, 1.56(a).	he al
(Number) (Country) (Day/Month/Year Filed) Yes () No () (Number) (Country) (Day/Month/Year Filed) U.S. Priority Applications I hereby claim the benefit under Title 35, United States Code, \$120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, \$112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, \$1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:	I hereby claim foreign priority benefits under Title 35, United States Could 119 of any foreign application(s) for patent or inventor's certificate list below and have also identified below any foreign application for patent inventor's certificate having a filing date before that of the application which priority is claimed:	ed or
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I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:	(Number) (Country) (Day/Month/Year Filed) Yes () No ()	
PCT/US93/07453 August 9, 1993 Pendina	I hereby claim the benefit under Title 35, United States Code, §120 of a United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the principle of States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose	er or of se
(Applic. Serial No.) (Filing Date) (Status-patented/pending/abandoned)	\$1.56(a) which occurred between the filing date of the prior application a	nd

August 12, 1992 (Filing Date)



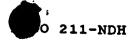
Power of Attorney

I hereby appoint the following attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: John E. Lynch, Reg. No. 20,940; Peter F. Felfe, Reg. No. 20,297; Alfred H. Hemingway, Jr., Reg. No. 26,736; Vincent M. Fazzari, Reg. No. 26,879; Hallie R. Levie, Reg. No. 31,116; Charles A. Blank, Reg. No. 17,419; Norman D. Hanson, Reg. No. 30,946; Walter G. Weissenberger, Reg. No. 17,344; F. Brice Faller, Reg. No. 29,532; Andrew L. Tiajoloff, Reg. No. 31,575; John P. Luther, Reg. No. 32,261 and John A. Bauer, Reg. No. 32,554, my attorneys with full power of substitution and revocation. Address all telephone calls to Norman D. Hanson, at (212) 688-9200. Address all correspondence to:

FELFE & LYNCH 805 Third Avenue New York, New York 10022

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(1) Thomas S Parke	r	The 1 Co	8 August 1994
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